

JUL 02 2007

HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P.O. Box 272400
Fort Collins, Colorado 80527-2400

PATENT APPLICATION

ATTORNEY DOCKET NO. 10007231-1

Inventor(s): Charles M. Patton

Confirmation No.: 1788

Application No.: 09/812,493

Examiner: Richard C. Weisberger

Filing Date: March 19, 2001

Group Art Unit: 3693

Title: Electronic Mail Filtering System

Mail Stop Amendment
Commissioner For Patents
PO Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Transmitted herewith is/are the following in the above-identified application:

- ☐ Response/Amendment
☐ New fee as calculated below
☐ No additional fee
☒ Other Information Disclosure Statement; Forms PTO/SB/08A&B; Cited References (4) Fee\$
- ☐ Petition to extend time to respond
☐ Supplemental Declaration

CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS		MINUS		= 0	X \$50	\$ 0
INDEP. CLAIMS		MINUS		= 0	X \$200	\$ 0
<input type="checkbox"/> FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM					+ \$360	\$ 0
EXTENSION FEE	<input type="checkbox"/> 1st Month \$120	<input type="checkbox"/> 2nd Month \$450	<input type="checkbox"/> 3rd Month \$1020	<input type="checkbox"/> 4th Month \$1590		\$ 0
OTHER FEES						\$
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 0

Charge \$ 0 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees.

☒ A duplicate copy of this transmittal letter is enclosed.

I hereby certify that this paper is being
transmitted to the Patent and Trademark Office
facsimile number (571) 273-8300.

Date of facsimile: 7/2/07

Typed Name: Natalie King

Signature: 

Respectfully submitted,

Charles M. Patton

By 

James D. Shaurette

Attorney/Agent for Applicant(s)

Reg No.: 39,833

Date: 6/29/07

Telephone: 509-624-4276

Rev 10/06a(TransAmdFax)

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PATENT APPLICATION

HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P.O. Box 272400
Fort Collins, Colorado 80527-2400ATTORNEY DOCKET NO. 10007231-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Title: Electronic Mail Filtering System

Mail Stop Amendment
Commissioner For Patents
PO Box 1450
Alexandria, VA 22313-1450INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97 (c), together with either a:
☐ Statement under 37 CFR 1.97 (e), or
☒ a \$180 fee under 37 CFR 1.17 (p), or
 (After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d), together with a:
☐ Statement under 37 CFR 1.97 (e)(1) or (2), and
☐ a \$180 fee set forth in 37 CFR 1.17 (p)
 (Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$ 180.00 . At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

Applicant(s) submit herewith form PTO/SB/08B - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO/SB/08B, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an english language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO/SB/08B and is enclosed.

It is requested that the information disclosed herein be made of record in this application.

- ☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450

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OR

- ☐ I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number (571) 273-8300

Date of facsimile: 7/2/07

Typed Name: Natalie King

Signature: 

Rev 1008a (IDS-Cen)

Respectfully submitted,

Charles M. Patton

By 

James D. Shaurette

Attorney/Agent for Applicant(s)

Reg No.: 39,833

Date: 6/27/07

Telephone: 509-624-4276

07/03/2007 TL0111 00000073 002025 09012493
01 FC:1006 100.00 DA

PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0831-0031
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/812,493
Filing Date	March 19, 2001
First Named Inventor	Charles M. Patton
Art Unit	3693
Examiner Name	Richard C. Weisberger
Attorney Docket Number	PDNO. 10007231-1

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U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,646,997	7/8/1997	Barton	
		US- 5,953,415	11/14/1999	Nielsen	
		US- 6,385,596 B1	5/7/2002	Wiser et al.	
		US- 5,530,751	6/25/1996	Morris	
		US- 5,664,018	9/2/1997	Leighton	
		US- 5,905,819	5/18/1999	Daly	
		US- 5,949,885	9/7/1999	Leighton	
		US- 6,038,316	3/14/2000	Dwork et al.	
		US- 6,154,571	11/28/2000	Cox et al.	
		US- 5,625,690	4/1997	Michel et al.	
		US- 5,822,432	10/1998	Moskowitz et al.	
		US- 5,960,081	9/1999	Vynna et al.	
		US- 6,233,684 B1	5/2001	Stefik et al.	
		US- 6,385,329 B1	5/2002	Shanna et al.	
		US- 6,389,151 B1	5/2002	Carr et al.	
		US- 6,801,999 B1	10/2004	Venkatesan et al.	
		US- 7,158,652	1/2/2007	Iwamura, Kellchi	
		US- 5,771,289	6/23/1998	Kuzma, Andrew	
		US- 7,149,801	12/12/2006	Burrows et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 02/39713 A2	5/16/2002	Macrovision Corp.		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/088 (09-08)

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Wired Magazine, "Flipping the Script," www.wired.com/wired/archive/8.06/newmoney.html; June 2000; 3 pp.	
		"Basic Mailing List Management Guidelines for Preventing Abuse"; http://maps.vix.com.manage.html; 11/7/2000; 3 pp.	
		"Centipaid Corporation: Innovative Micropayment solutions for ecom..."; www.centipaid.com; 2002-2006; 2 pp.	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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